Q1 The "folding" and the "tunneling" techniques Sunday, November 22, 2015 11:14 AM

In parts (a) and (b), we consider 
$$\cos(2\pi f_0 t)$$
.  
To find the perceived freq., we will use the "folding technique":  
i) Consider the window of freq. from 0 to  $f_0$ .  
ii) Start from 0, increase the freq. to  $f_0$   
Fold back at 0 and  $f_0$  if necessary.

(a) 
$$f_0 = 1,111,111$$
  
Remainder =  $f_0 - f_s \lfloor \frac{f_0}{f_s} \rfloor$   
 $= 7 \in 111,111$   
 $f_s = \frac{f_s}{2} - 1 = 6 - 1 = 5 H_2$   
(b)  $f_0 = 111,111$   
Remainder =  $f_0 - f_s \lfloor \frac{f_0}{f_s} \rfloor$   
 $= \frac{f_s}{2} - 1 = 6 - 1 = 5 H_2$   
 $f_s = \frac{f_s}{2} = 6$   
 $f_s = \frac{f_s}{2} = 6$   
Alternatively,  $12 \frac{92592}{111,111}$   
Remainder =  $f_0 - f_s \lfloor \frac{f_0}{f_s} \rfloor$   
 $= \frac{3}{4}$   
 $f_s = 3 H_2$   
Alternatively,  $12 \frac{92592}{111,111}$   
 $f_s = 92592$   
 $f_s = 12 = 6$   
 $f_s = 112,111$   
 $f_s = 3 H_2$   
Alternatively,  $12 \frac{92592}{111,111}$   
 $f_s = 92592$   
 $f_s = 12 = 6$   
 $f_s = 112,111$   
 $f_s = 3 H_2$ 

In parts (c) and (d), we consider 
$$e^{j 2\pi f_0 t}$$
.  
To find the "perceived" frequency, we will use the "tunneling technique":  
i) consider the window of freq. from  $-\frac{f_0}{2}$  to  $-\frac{f_0}{2}$ .  
ii) start from 0.  
If  $f_0 > 0$ , increase the freq. to  $f_0$  (going to the right)  
This is the  
"tunneling" part.  
If  $f_0 < 0$ , decrease the freq. to  $f_0$  (going to the left)  
restart at  $-\frac{f_0}{2}$  when  $-\frac{f_0}{2}$  is reached.

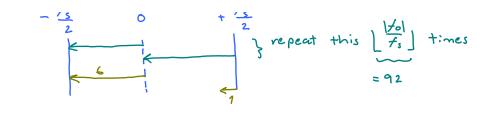
(c) 
$$f_0 = 11, 111$$
  
Remainder  $= f_0 - f_s \lfloor \frac{f_0}{f_s} \rfloor$   
 $= 11$   
 $f_r = -1$  IHZ  
(d)  $f_0 = -1, 111$   
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$$-\frac{f_s}{2} \qquad 0 \qquad + \frac{f_s}{2}$$

$$= \frac{|J_o|}{|J_o|} \quad + \frac{f_s}{|J_o|} \quad + \frac{|J_o|}{|J_o|} \quad + \frac{|J_o|}{|J_o$$

Remainder = 7





f = 5 Hz

Derivation of the "folding" and the "tunneling" techniques Saturday, November 21, 2015 10:16 PM

Finding the "perceived" frequency of  $g(t) = e^{j2\pi/\delta t}$  when the sampling rate is  $f_s$ : Method 1: Analysis via the reconstruction equation. First, note that  $g(t) = e^{j2\pi/\delta t} \xrightarrow{T} G(f) = \delta(f-f_0)$ With the sampling rate  $=f_s$ , we know that  $G_g(f) = \sum f_s G(f-kf_s) = \sum f_s \delta(f-kf_s-f_0) = f_s \sum \delta(f-(f_0+kf_s))$   $f_{\text{Recall that this is periodic with period } f_s$ . Now, the reconstruction equation gives  $G_r(f) = LPF \{G_g(f)\}$  where  $H_{LP}(f) = \begin{cases} T_s, -\frac{f_s}{2} < f \le \frac{f_s}{2}, \\ 0, & \text{otherwise.} \end{cases}$ (or, equivalently,  $g_r(t) = LPF \{g_s(t)\}$ ) one period

Therefore, only the parts of  $G_s(f)$  that are between  $-\frac{f_s}{2} < f \leq \frac{f_s}{2}$  will survive the LPF (and will also be further scaled by  $T_s$ ).

Our task now is then to find all value(s) of integer k such that

$$-\frac{f_s}{2} < f_o + kf_s \leq \frac{f_s}{2}$$
$$-\frac{1}{2} - \frac{f_o}{f_s} < k \leq \frac{1}{2} - \frac{f_o}{f_s}$$

Note that the difference between these two numbers is one. So, there is exactly one value of k that satisfies such condition. So,  $k = \lfloor \frac{1}{2} - \frac{x_0}{x_s} \rfloor$  Note that `L J is the so,  $k = \lfloor \frac{1}{2} - \frac{x_0}{x_s} \rfloor$ 

One may also consider  $k = \left[-\frac{1}{2} - \frac{f_o}{f_s}\right]$ . However, because the equality in the condition has equality at the upper-bound, the ceiling function of the lower bound will give the wrong answer when the lower bound is an integer itself. This gives  $G_r(f) = T_s f_s \delta(f - (f_o + kf_s))$  where  $k = \lfloor \frac{1}{2} - \frac{f_o}{f_s} \rfloor$ 

> the only term in GE(f) that is in the possbond of the LPF

$$= \delta(f - (f_{o} + kf_{s}))$$

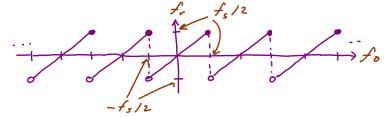
$$\int \overline{f}^{-1} \int \frac{1}{2\pi} (f_{o} + kf_{s})$$

$$g_{r}(t) = e^{j2\pi} (f_{o} + kf_{s})$$
where  $k = \lfloor \frac{1}{2} - \frac{f_{o}}{f_{s}} \rfloor$ 

Hence, the "perceived" frequency is  $f_{y} = f_{0} + kf_{s} = f_{0} + f_{s} \lfloor \frac{1}{2} - \frac{f_{0}}{f_{s}} \rfloor$ . In part (c),  $f_{0} = 11,111 \implies k = \lfloor \frac{1}{2} - \frac{11,111}{12} \rfloor = \lfloor -925.41 \rfloor = -926$  $\implies f_{y} = 11,111 + (-920 \times 12) = -1$  Hz.

In part (d), 
$$f_0 = -1,111 \Rightarrow k = \left\lfloor \frac{1}{2} + \frac{1,111}{12} \right\rfloor = \lfloor 93.1 \rfloor = 93$$
  
 $\Rightarrow f_1 = -1,111 + 93 \times 12 = 5 \text{ Hz}.$ 

Remark: The plot of  $f_r = f_0 + f_s \left[ \frac{1}{2} - \frac{f_0}{f_s} \right]$  is shown below:



Observe the "tunneling effect": i)  $f_r$  is contained between  $-\frac{f_s}{2}$  and  $\frac{f_s}{2}$ . ii)  $f_r = f_o$  in the above window iii) when  $f_o$  exceed  $\frac{f_s}{2}$ , it "jumps" (or "goes through the tunnel symbolized by the dotted line") back to restart at  $-\frac{f_s}{2}$ . iv) as a function of  $f_o$ ,  $f_r$  is periodic with period  $f_s$ .  $\Rightarrow$  Therefore, instead of considering  $f_o$ , we may simply consider  $f_t = f_o \mod f_s$ This is implemented in MATLAB by  $\mod(f_o, f_s)$ Alternatively, one can use  $f_t = f_o - f_s \lfloor \frac{f_o}{f_s} \rfloor$ .

This leads to method (2) below

Method 2: Use the "tunneling effect" discussed in class (wherein we observe the location of the impulse(s) shown by our plotspect function).

I) Find 
$$f_t = f_0 \mod f_s \leftarrow \text{This gives } f_t \in [0, f_s]$$
  
or, equivalently,  $f_t = f_0 - f_s \left\lfloor \frac{f_0}{f_s} \right\rfloor$ 

Think of this as representing the number of rounds you start from 0, go through the tunnel, and back to 0.

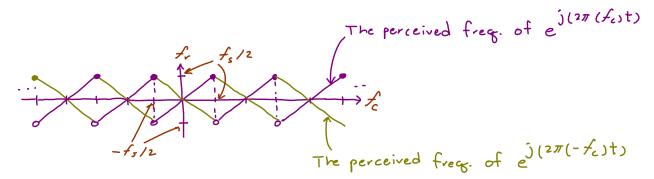
$$I) \quad \mathcal{F}_{r} = \begin{cases} \mathcal{F}_{t}, & \text{if} \quad \mathcal{F}_{t} \leq \frac{\mathcal{F}_{s}}{2}, \\ \mathcal{F}_{t} - \mathcal{F}_{s}, & \text{if} \quad \mathcal{F}_{t} > \frac{\mathcal{F}_{s}}{2}. \end{cases}$$

$$n \quad \text{part (c),} \quad \mathcal{F}_{o} = 11, 111 \Rightarrow \mathcal{F}_{t} = \mathcal{F}_{o} \mod \mathcal{F}_{s} = 11 > 6 \\ \Rightarrow \mathcal{F} = \mathcal{F}_{o} - \mathcal{F}_{s} = 11 - 12 = -1. \end{cases}$$

Ι

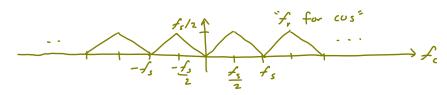
In part (c), 
$$f_{o} = 11, 111 \Rightarrow f_{e} = f_{o} \mod f_{s} = 11 > 6$$
  
 $\Rightarrow f_{e} = f_{e} - f_{s} = 11 - 12 = -1.$   
In part (d),  $f_{o} = -1, 111 \Rightarrow f_{e} = f_{o} \mod f_{s} = 5 < 6$   
 $\Rightarrow f_{e} = f_{e} = 5.$   
Finding the "perceived" frequency of  $g(t) = \cos(2\pi f_{c}t)$  when the sampling  
rate is  $f_{s}$ .  
Here, we write  $f_{e}$  instead of  $f_{o}$  because there are  
two terms with two freque:  $f_{o} = f_{c}$   
and  $f_{o} = -f_{c}$   
Method 1: From the Euler's formula:  $g(t) = \cos(2\pi f_{c}t) = \frac{1}{2}e^{j2\pi}f_{c}t^{+} + \frac{1}{2}e^{j2\pi}(-f_{c})^{+}$   
After sampling, we can apply what we know about the "perceived"  
frequency of complex-exponential signal to get the "perceived" freq.  
of each term inside  $g(t)$ .  
In part (a),  $f_{c} = 1,111,111 \Rightarrow f_{t} = f_{c} \mod f_{s} = 7 > 6$   
 $f_{c} = -1,111,111 \Rightarrow f_{t} = (-f_{c}) \mod f_{s} = 5 \le 6$   
 $\Rightarrow f_{c} = f_{c} = 10,111 \Rightarrow f_{t} = (-f_{c}) \mod f_{s} = 5 \le 6$   
 $\Rightarrow f_{c} = f_{c} = 10,111 \Rightarrow f_{t} = 3 \le 6 \Rightarrow f_{c} = 3$   
Therefore,  $g_{c}(t) = \frac{1}{2}e^{j(2\pi(-5)t)} + \frac{1}{2}e^{j(2\pi(-5)t)} = \cos(2\pi(5)t)$ .  
In part (b),  $f_{c} = 111,111 \Rightarrow f_{t} = 3 \le 6 \Rightarrow f_{c} = 3$   
 $-f_{c} = -111,111 \Rightarrow f_{t} = 3 \le 6 \Rightarrow f_{c} = 3$   
Therefore,  $g_{c}(t) = \frac{1}{2}e^{j(2\pi(-5)t)} + \frac{1}{2}e^{j(2\pi(-5)t)} = \cos(2\pi(5)t)$ .  
The "perceived" frequency is 5 tha.  
In part (b),  $f_{c} = 111,111 \Rightarrow f_{t} = 3 \le 6 \Rightarrow f_{c} = 3$   
Therefore,  $g_{c}(t) = \frac{1}{2}e^{j(2\pi(-5)t)} + \frac{1}{2}e^{j(2\pi(-5)t)} = \cos(2\pi(5)t)$ .  
The "perceived" frequency is 5 tha.

Method 2: When we consider the "perceived freq". of  $e^{j2\pi}f_ct$  which we plotted earlier with " "  $e^{j2\pi}(-f_c)t$ 



Observe that at every fc, for cos(217 fc+) its two complex- expo components always gives a pair of perceived freq., one positive and one negative (except when  $f_c$  is a multiple of  $f_{s/2}$ )

so, the reconstructed signal g, (t> will still be a cosine whose freq. can simply be "read" from the upper part of the plot above:



(Because  $\cos(\pi) = \cos(\pi)$ , we only answer one freq. for the cosine.) Observe the "folding effect": i)  $f_r$  for  $\cos^r$  is contained between 0 and  $\frac{f_s}{2}$ iii) " =  $f_c$  in the above window iii) when  $f_c$  exceeds  $\frac{f_s}{5}$ , it folds back towards 0. when  $f_c$  reaches 0, it folds back towards  $\frac{f_s}{2}$ . iv) as a function of  $f_{c_s}$ " $f_r$  for  $\cos^r$  is periodic with period  $f_s$ . Therefore, we can find " $f_r$  for  $\cos^r$  by I) find  $f_t = f_c \mod f_s = f_c - f_s \left\lfloor \frac{f_c}{f_s} \right\rfloor$ . I)  $f_r = \begin{cases} f_t, & \text{if } f_t < \frac{f_s}{2}, \\ f_s - f_t, & \text{if } f_t > \frac{f_s}{2} \end{cases}$ . In part (a),  $f_c = 1,111,111 \Rightarrow f_t = 7.26 \Rightarrow f_r = 12-7=5$  Hz.

In part (b),  $f_c = 111, 111 \Rightarrow f_t = 3 < 6 \Rightarrow f_r = 3 Hz.$ 

## Problem 2: Aliasing and periodic square wave

First, let's recall some theoretical results we studied earlier. We know, from Example 4.20 in lecture, that

$$\mathbf{1}[\cos\omega_0 t \ge 0] = \frac{1}{2} + \frac{2}{\pi} \left( \cos\omega_0 t - \frac{1}{3}\cos^3\omega_0 t + \frac{1}{5}\cos^5\omega_0 t - \frac{1}{7}\cos^7\omega_0 t + \cdots \right)$$

where  $\omega_0 = 2\pi f_0$ .

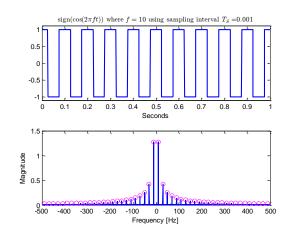
Here, we have a bipolar square pulse periodic signal  $sgn(cos\omega_0 t)$  which alternates between "-1 and 1" instead of "0 and 1". Observe that

$$\operatorname{sgn}(\cos\omega_0 t) = 2 \times 1[\cos\omega_0 t \ge 0] - 1.$$

Therefore,

$$\operatorname{sgn}(\cos\omega_0 t) = \frac{4}{\pi} \left( \cos\omega_0 t - \frac{1}{3}\cos^3\omega_0 t + \frac{1}{5}\cos^5\omega_0 t - \frac{1}{7}\cos^7\omega_0 t + \cdots \right)$$

Hence, theoretically, its Fourier transform should have spikes (impulses) at all the odd-integer multiples of  $\pm f_0$  Hz. The center spikes (at  $\pm f_0$ ) should be the largest among them as shown in the Figure below.

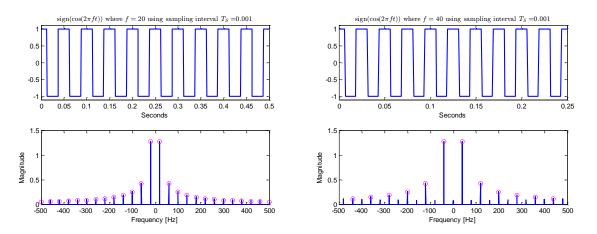


In fact, we could even try to predict the height of the spikes shown in the plot as well. Note that, in the script, we consider the time between 0 to 2 sec. Therefore, actually, we are not looking at the signal  $sgn(cos\omega_0 t)$  from  $-\infty$  to  $\infty$ . This time-limited view means that, in the frequency domain, we won't see the impulses but rather sinc pulses at those mentioned locations. (This is the same as seeing two sinc pulses instead of two impulses when looking at the Fourier transform of the cosine pulse.)

The sinc function is simply the Fourier transform of the rectangular windows. Because the area of the rectangular window is  $1\times2 = 2$ , its Fourier transform (which is a sinc function) has its peak value of 2. This is further scaled by a factor of ½ from the cosine. Therefore, each "impulse" ("sinc") that we see should have its height being the coefficient of corresponding cosine. For example, at  $\pm f_0$ , the coefficient of the cosine is  $\frac{4}{\pi}$ . Therefore, we expect the height of the "impulse" at  $\pm f_0$  to be  $\frac{4}{\pi} \approx 1.2732$ . The height values for other impulse locations is shown by the pink circles in the plot. We see that our predicted values match the plot quite well.

In the time domain, the switching between the values -1 and 1 should be faster as we increase  $f_0$ . All the plots here are adjusted so that they show 10 periods of the "original signal" in the time domain. (This is done so that the distorted shape (if any) of the waveform in the time domain is visible.)

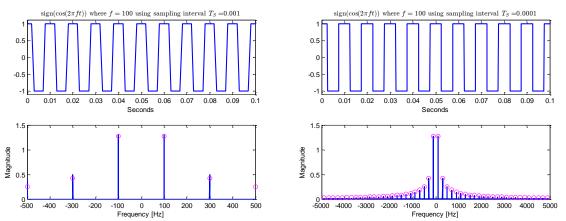
From the plots, as we increase  $f_0$  from 10 to **20 Hz**, the locations of spikes changes from all the odd-integer multiples of 20 Hz. In particular, we see the spikes at ±20, ±60, ±100, ±140, ±180, ±220, ±260, ±300, ±340, ±380, ±420, ±460. Note that plotspect (by the way that it is coded) only plots from [-f<sub>s</sub>/2, f<sub>s</sub>/2). So, we see a spike at -500 but not 500. Of course, the Fourier transform of the sampled waveform is periodic and hence when we replicate the spectrum every f<sub>s</sub>, we will have a spike at 500. Note that, in theory, we should also see spikes at ±540, ±580, ±620, ±660, and so on. However, because the sampling rate is 1000 [Sa/s], these high frequency spikes will suffer from aliasing and "fold back"<sup>1</sup> into our viewing window [-f<sub>s</sub>/2, f<sub>s</sub>/2). However, they fall back to the frequencies that already have spikes (for example, ±540 will fold back to ±460, and ±580 will fold back to ±420) and therefore the aliasing effect is not easily noticeable in the frequency domain.



<sup>&</sup>lt;sup>1</sup> Because the squarewave is real and even, the Fourier transform is also real and even. Therefore, the "folding effect" is equivalent to the "tunneling effect".

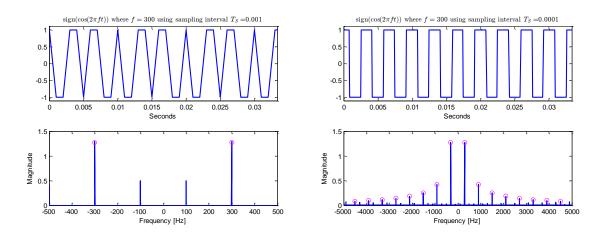
When  $f_0 = 40$  Hz, we start to see the aliasing effect in the frequency domain. Instead of seeing spikes only at ±40, ±120, ±200, ±280, ±360, ±440, the spikes at higher frequencies (such as ±520, ±600, and so on) fold back to lower frequencies (such as ±480, ±400, and so on). The plot in the time domain still looks quite OK with small visible distortion.

At high fundamental frequency  $f_0 = 100$  Hz, we see stronger effect of aliasing. In the time domain, the waveform does not look quite "rectangular". In the frequency domain, we only see the spikes at  $\pm 100$ ,  $\pm 300$ , and 500. These are at the correct locations. However, there are too few of them to reconstruct a square waveform. The rest of the spikes are beyond our viewing window. We can't see them directly because they fold back to the frequencies that are already occupied by the lower frequencies. Note also that the predicted height (pink circles) at  $\pm 300$  Hz is quite different from the plotspect value. This is because the content from the folded-back higher-frequencies is being combined into the spikes.



Our problem can be mitigated by reducing the sampling interval to  $T_s = 1/1e4$  instead of  $T_s = 1/1e3$  as shown by the plot on the right above.

Finally, at the highest frequency  $f_0 = 300$  Hz, if we still use T = 1/1e3, the waveform will be heavily distorted in the time domain. This is shown in the left plot below. We have large spikes at ±300 as expected. However, the next pair which should occur at ±900 is out of the viewing window and therefore folds back to ±100. Again, the aliasing effect can be mitigated by reducing the sampling time to T = 1/1e4 instead of T = 1/1e3. Now, more spikes show up at their expected places. Note that we can still see a lot of small spikes scattered across the frequency domain. These are again the spikes from higher frequency which fold back to our viewing window.

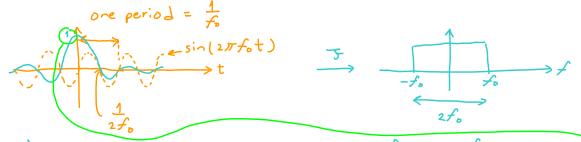


## Q3 Nyquist sampling rate and Nyquist sampling interval

Sunday, July 17, 2011 2:09 PM

To apply the sampling theorem, we first need to find the value B where the signal in each part is bendlimited to.

The signals involved in this question are of the form sinc (277 fot). Therefore, we first find a general result for sinc (277 fot). First, we draw sin (277 fot):



Then, we draw the sinc  $(2\pi f_0 t)$  using the zeroes of sin  $(2\pi f_0 t)$ We then see that the first zero occurs at  $\frac{1}{2f_0}$ . Therefore, in the freq. domain, the corresponding rectangular function has width =  $2f_0$ . So, its boundaries are  $\pm f_0$ . Conclusion: sinc  $(2\pi f_0 t)$  is band limited to  $B = f_0$ Note that the height of the rectangular function must be  $\frac{1}{2f_0}$  to make its area = 1.

(a) sinc (100 
$$\pi$$
t) = sinc (2 $\pi \times 50 \times t$ )  $\Rightarrow$  B = 50 Hz  
(b) Recall that for signals g, (t) bandlinited to B, and  
 $g_2(t)$  " " B<sub>2</sub>,

their product g1(t)g2(t) is bandlimited to B1+B2. To "see" this (without actually doing the "flip-shift-integrate" for convolution in the freq. domain, imagine

 $G_1(f)$  as a bunch of impulses  $\frac{1}{-\theta_1} \int_{0}^{1} f$  Of course,  $G_1(f)$  and  $G_2(f)$  in  $G_2(f)$  as a bunch of impulses  $\frac{1}{-\theta_2} \int_{0}^{1} f f$  this question won't look like  $\frac{1}{-\theta_2} \int_{0}^{1} f f f$  these. We draw then this way to make the conclusion easier to see.

Because we have a multiplication in the time domain,

we have a convolution in the frequency domain.

The convolution with an impulse i- easy :

$$G_{1}(f) * \delta(f - f_{0}) = G_{1}(f - f_{0}).$$

So, we simply have replicas of B, (F) at all the impulses, locations

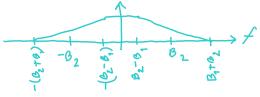
of O2LT). Hence, the highest freq. component is at B1+B2 and

the lowest freq. component is at -B1-B2.

Alternatively, one can look at the convolution of two rectangular functions:



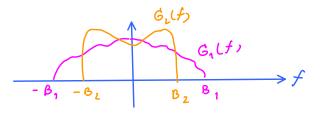
We saw similar convolution as a video earlier in the semester. The result is



Hence, for  $sinc^{2}(100.77t)$ , B = 50+50=100 Hz

(c) Observe that for signals  $g_1(t)$  bandlinited to  $B_1$  and  $g_2(t)$  "  $B_2$ ,

their linear combination c,g, lts + c,g, lts is bandlimited to max {B, B2}.



So, for sinc (10017t) + sinc (5017t),  $B = \max \{50, 25\} = 50 \text{ Hz}$ (d) Use the observation from parts (b) and (c).

For sinc (10077t) + 3 sinc<sup>2</sup> (6077t), B = max  $\{50, 2\times 60\}$  = 120 Hz.

(e) Use the same observation as in part (b).

For sinc (50/t) sinc (100/t), B = 25+ 50 = 75 Hz.

Now that we know the max freqs. B of our signals: The Nyquist sampling rate is  $2 \times B$ . The Nyquist sampling interval is  $\frac{1}{2B}$ .

	frax	R Nyquist [Sa/s]	TNyquist [Sec]
(a)	50	100	0-01
(6)	100	200	0.005
(0)	50	100	0.01
(ک)	60	120	1/120
(e)	75	150	1/150

The table below summarizes the answers for this question:

## Q4 Sinc Reconstruction of Sinc

Th

24 Sinc Reconstruction of Sinc  
hursday, August 30, 2012 1:51 PM  
The signal under consideration is 
$$g(t) = sinc(\pi t)$$
.  
 $-3 - 2 \sqrt{1}$ 

note that, in MATLAB, this function is implemented by sinc(t) because the built-in MATLAG sinc function has already included the TT.

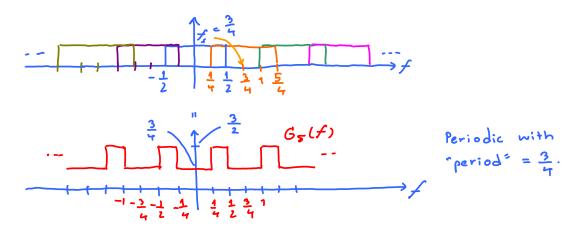
(b) The Nyquist sampling rate is given by  $2 \times f_{max} = 2 \times \frac{1}{2} = 1$  samble/sec.

(c) In class, we have seen that  

$$G_{\sigma}(f) = f_{s} \sum_{n=-\infty}^{\infty} G(f - nf_{s})$$
 where  $f_{s} = \frac{1}{T_{s}}$ .

(c. 
$$\hat{a}$$
) With  $T_s = \frac{1}{2}$ , we have  
 $f_s = 2$  G(f) Periodic with  
 $\frac{-5}{2} - 2 - \frac{2}{2} - 1 - \frac{1}{2}$   $\frac{1}{2}$   $1 - \frac{3}{2} - 2 - \frac{5}{2}$ 

(c.i) With  $T_s = \frac{4}{3}$ , we have



(d. i)

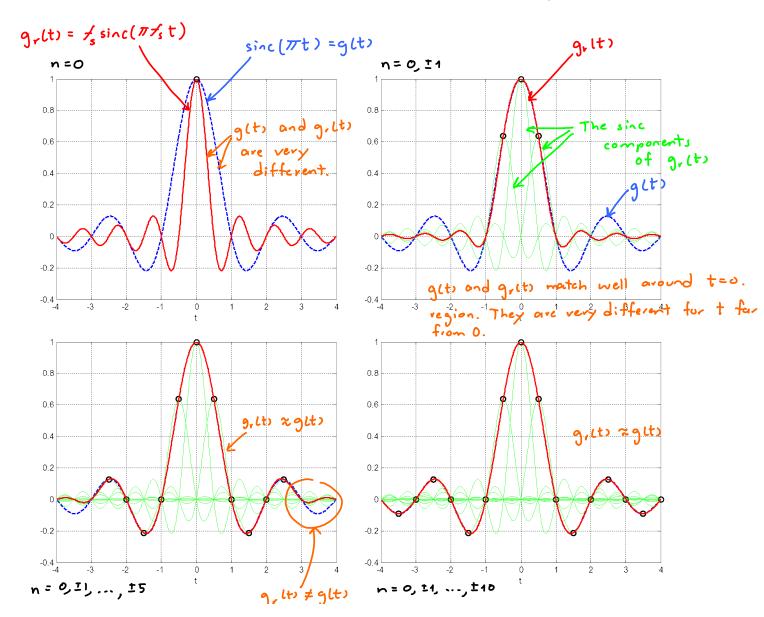
With 
$$T_s = 1$$
,  $g[n] = g(nT_s) = g(n \times 1) = g(n) = sinc(\pi n)$ .  
(d.i.i) From the plot of sinc( $\pi n$ ) drawn earlier, we have

$$g[n] = \begin{cases} 1, & n=0, \\ 0, & other wise. \end{cases}$$

$$(d. i.ii) Be cause g[n] = 0 when n \neq 0,$$

$$g_r(t) = g[0] sinc(\pi t) = 1 \times sinc(\pi t) = sinc(\pi t) = g[t]$$
Note that with  $T_s = 1$ , we have  $f_s = 1$  which is the same as the Ny quist sampling rate. Therefore we are at the border line of the successful reconstruction.

(d.u) Reminder: MATLAB's sinc function is sinc(æ) = sin(mæ)/mæ, which is different from sinc(æ) = sin(æ)/æ that we defined for our class. Therefore, when we use MATLAB to plot sinc(mt), we do not put the "m" in the formula. MATLAB will automatically insert the "m" for us.



Observation : As we increase the number of terms in the summation, g(t) is better approximated by gr(t).